

On constructions of extractable codes

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Abstract. This paper deals with the construction problem on extractable codes. The base of a free submonoid of a free monoid is called a code. The code C with the property that $z, xzy \in C^*$ implies $xy \in C^*$ is called an extractable code. For example this kind of code sometimes appears as a certain type of group code; at other times it appears as Petri net codes of type D . One of the useful methods for constructing extractable codes is a composition of codes. We examine under what conditions on codes Y and Z the composition $Y \circ_\pi Z$ is extractable when Y and Z are composable through some bijection π .

Key words: code, prefix code, extractable code, composition of codes, minimal set of generators, extractable submonoid, free monoid.

1. INTRODUCTION

An extractable submonoid is a free submonoid of a free monoid. It was first mentioned in [5] that the study of extractable submonoids of free monoids was a theme of interest. The extractable code is the base of extractable submonoid. The notion of the extractable code was formally introduced in [6] and [7].

Let A be an alphabet. We denote by A^+ and A^* the free semigroup and the free monoid generated by A , respectively. The empty word is denoted by 1. A word v is a factor of a word $u \in A^*$ if there exist $w, w' \in A^*$ such that $u = wvw'$. A word $v \in A^*$ is a *right factor* (resp. *left factor*) of a word $u \in A^*$ if there is a word $w \in A^*$ such that $u = vw$ (resp. $u = wv$). If v is a right factor of u , we write $v <_s u$. Similarly, we write $v <_p u$ if v is a left factor of u . The left factor v of a word u is said to be *proper* if $v \neq u$.

We denote by wA^{-1} and wA^- the set of all left factors of w and the set of all proper left factors of w , respectively. Let $X \subset A^*$, and set $XA^- = \cup_{w \in X} wA^-$. Namely, by XA^- we denote the set of all proper left factors of words in X . The subset XA^{-1} of A^* is defined by $XA^{-1} = XA^- \cup X$. We set $ps(X) = XA^- \cap A^-X$.

The length $|w|$ of w is the number of letters in w . $Alph(w)$ is the set of all letters occurring at least once in w .

This is an abstract and the details will be published elsewhere.

Two words x, y are said to be conjugate if there exists words u, v such that $x = uv, y = vu$. For $x \in A^*$ we set $Cl(x) = \{y \in A^* \mid y \text{ and } x \text{ are conjugate}\}$.

Let Z is a subset of A^* . For each $x \in A^*$, we define the set of all right contexts of x with respect to Z by

$$Cont_Z^{(r)}(x) = \{w \in A^* \mid xw \in Z\}.$$

The right principal congruence $P_Z^{(r)}$ of Z is defined by $(x, y) \in P_Z^{(r)}$ if and only if $Cont_Z^{(r)}(x) = Cont_Z^{(r)}(y)$. Let $u \in A^*$, by $[u]_Z$ we denote the $P_Z^{(r)}$ -class of u by $[u]_Z$ or simply by $[u]$. That is,

$$[u]_Z = \{v \mid Cont_Z^{(r)}(v) = Cont_Z^{(r)}(u), v \in A^*\}.$$

We denote by $[w_\phi]$ the class of $P_Z^{(r)}$ consisting of all words $w \in A^*$ such that $wA^* \cap Z = \phi$. Namely, $[w_\phi]$ is the class of the nonleft factors of words in Z .

A nonempty subset C of A^+ is said to be a *code* if for $x_1, \dots, x_p, y_1, \dots, y_q \in C, p, q \geq 1$,

$$x_1 \cdots x_p = y_1 \cdots y_q \implies p = q, x_1 = y_1, \dots, x_p = y_p.$$

A code $C \subset A^+$ is said to be *infix* if for all $x, y, z \in A^*$,

$$z, xzy \in C \implies x = y = 1.$$

A subset M of A^* is a *submonoid* of A^* if $M^2 \subseteq M$ and $1 \in M$. Every submonoid M of a free monoid has a unique minimal set of generators $C = (M - \{1\}) - (M - \{1\})^2$. C is called the *base* of M . A submonoid M is *right unitary* in A^* if for all $u, v \in A^*$,

$$u, uv \in M \implies v \in M.$$

M is called *left unitary* in A^* if it satisfies the dual condition. A submonoid M is *biunitary* if it is both left and right unitary. Let M be a submonoid of a free monoid A^* , and C its base. If $CA^+ \cap C = \emptyset$, (resp. $A^+C \cap C = \emptyset$), then C is called a *prefix* (resp. *suffix*) code over A . C is called a *bifix* code if it is a prefix and suffix code. It is obvious that an infix code is a bifix code. A submonoid M of A^* is right unitary (resp. biunitary) if and only if its minimal set of generators is a prefix code (resp. bifix code) (e.g., [1, p.46], [4, p.108]).

Let C be a nonempty subset of A^* . If $|x| = |y|$ for all $x, y \in C$, then C is a bifix code. We call such a code a *uniform code*. The uniform code $A^n = \{w \in A^* \mid |w| = n\}$, $n \geq 1$, is called a *full uniform code*.

A submonoid M of A^* is *extractable* in A^* if for all $x, y, z \in A^*$,

$$z, xzy \in M \implies xy \in M^*.$$

If a submonoid M is extractable, then $u, 1uv \in M$ implies $1v = v \in M$. Similarly $v, uv \in M$ implies $u \in M$. Hence M is biunitary. Therefore, its minimal set of generators C is a bifix code.

Definition 1. Let $C \subset A^*$ be a code. If C^* is extractable in A^* , then C is called an *extractable* code.

For the terms used but not explained in this paper, readers refer to [1] or [4].

Remark 1. Let $C \subset A^*$ be a code. The following conditions are equivalent:

- (a) $z, uzv \in C^* \implies uv \in C^*$.
- (b) $z \in C, uzv \in C^* \implies uv \in C^*$.

Remark 2. Let $C \subset A^*$ be an infix code. The following conditions are equivalent:

- (a) $z, uzv \in C^* \implies uv \in C^*$.
- (b) $z \in C, uzv \in C^2 \implies uv \in C$.

2. COMPOSITION OF CODES RELATED TO EXTRACTABLE CODES

We begin with the constructions of extractable codes by using the concatenation of codes.

Let $Z \subset A^*$ a code and $S \subset A$ a nonempty subset. We set

$$H = Z \cap (\cup_{a \in S} aA^*).$$

It is obvious that $uv \in H, uv' \in Z$ implies $uv' \in H$. First we present the following proposition.

Proposition 1. Let $Z \subset A^*$ be an infix extractable code and $S_i \subset A, 1 \leq i \leq n$, be nonempty subsets. Let $H_i = Z \cap (\cup_{a \in S_i} aA^*)$, $1 \leq i \leq n$. Then $X = H_1 H_2 \cdots H_n$ is an extractable code. In particular, Z^n is an extractable code for any $n \geq 1$.

Example 1. (1). Let $Z = \{a^3, ab, ba\}$ and $H = Z \cap aA^* = \{a^3, ab\}$, then $X = ZH = \{a^6, a^4b, aba^3, (ab)^2, ba^4, ba^2b\}$ is extractable.

(2). Let $Z = \{a^3, ba\}$. Then both Z and $Z^2 = \{a^6, a^3ba, ba^4, (ba)^2\}$ are extractable.

Proposition 2. Let $Z \subset A^*$ be an infix code such that for fixed $m \geq 1$ and for all $u \in ZA^-, v \in A^*$ and $c_1, \dots, c_m, d_1, \dots, d_m \in Z$ the equality

$$ud_1 d_2 \cdots d_m = c_1 c_2 \cdots c_m v \quad \text{implies} \quad u = v.$$

Let $K_r, 1 \leq r \leq mn, n \geq 1$, be nonempty subsets of Z . Then $X = K_1 K_2 \cdots K_{mn}$ is extractable. In particular, Z^{mn} is an extractable code.

Example 2. (1) The code $Z = \{aba, bab\}$ is not extractable. For $m = 2$, Z satisfies the condition in Proposition 2. Hence Z^{2n} is extractable for any $n \geq 2$. Note, however, that Z^3 is not extractable, since

$$ab \cdot (aba)(bab)(aba) \cdot b(bab)(bab) = (aba)(bab)(aba)(bab)^3 \in Z^6, \quad ab^2(bab)^2 \notin Z^3.$$

Let $Z \subset A^*$ and $Y \subset B^*$ be two codes with $B = \text{Alph}(Y)$. Then the codes Y and Z are *composable* through π , if there is a bijection π from B onto Z . The set $X = \pi(Y)$ is denoted by

$$X = Y \circ_{\pi} Z \quad \text{or} \quad X = Y \circ Z,$$

when no confusion arises. If both Y and Z are prefix (suffix) codes, then $X = Y \circ Z$ is a prefix (suffix) code ([1, p.73, Prop.6.3]). Therefore, if both Y and Z are bifix codes, then X is a bifix code. We note that we can regard Z^n in Proposition 1 and Proposition 2 as the composition $X = B^n \circ_{\pi} Z$ of B^n and Z through some bijection $\pi : B \rightarrow Z$. The composition of codes depends essentially on the bijection π . For example, let $Y = \{aab, aba, baa\}$ and $Z = \{a, ba\}$, and let $\pi_1 : a \rightarrow a, b \rightarrow ba, \pi_2 : a \rightarrow ba, b \rightarrow a$. Then $Y \circ_{\pi_1} Z$ is extractable, but $Y \circ_{\pi_2} Z$ is not extractable. Even though both Y and Z are extractable, in general the composition of Y and Z are not necessarily extractable. In the study of extractable codes it is convenient to have a composition of codes Y and Z such that $Y \circ_{\pi} Z$ is extractable for any bijection $\pi : B \rightarrow Z$. Therefore, we examine under what conditions on Y and Z the composition $Y \circ_{\pi} Z$ can be extractable for an arbitrary bijection π .

Proposition 3. Let $Z \subset A^*$ and $Y \subset B^*$ be two composable codes. If $X = Y \circ_{\pi} Z$ is extractable, then Y is extractable.

Let Z be a bifix code. We define the *internal multiplicity* $\mu(Z)$ of Z as follows: $\mu(Z) = 0$ if Z is infix, $\mu(Z) = n$ if $Z \cap A^+ Z^n A^+ \neq \emptyset$ and $Z \cap A^+ Z^{n+m} A^+ = \emptyset$ for all $m \geq 1$, $\mu(Z) = \infty$ if for any $n \geq 1$ there exists $m \geq 1$ such that $Z \cap A^+ Z^{n+m} A^+ \neq \emptyset$.

Let Y be a code. Then we set $m(Y) = \min\{|y| \mid y \in Y\}$. That is, $m(Y)$ is the shortest length of elements in Y .

Proposition 4. Let $Z \subset A^*$ be a bifix code such that $ps(Z) = \{1\}$, and let $Y \subset A^*$ be an extractable code such that $m(Y) > \mu(Z)$. If Y and Z are composable, then $X = Y \circ Z$ is an extractable code.

A submonoid M of A^* is said to be *pure* if for all $x \in A^*$ and $n \geq 1$ the condition $x^n \in M$ implies $x \in M$. A submonoid N of A^* is *very pure* if for all $u, v \in A^*$ the condition $uv, vu \in N$ implies $u, v \in N$.

Corollary 5. Let Y and Z be composable bifix codes such that $m(Y) > \mu(Z)$ and $ps(Z) = \{1\}$. If Y^* is an extractable pure (resp. extractable very pure) monoid, then $X = Y \circ Z$ is an extractable pure (resp. extractable very pure) monoid.

Definition 1 ([8],[9]). Let $n \geq 1$ be an integer. A non-empty subset Z of A^* is called an *intercode of index n* if $Z^{n+1} \cap A^+ Z^n A^+ = \emptyset$.

By the definition any nonempty subset of an intercode is also an intercode. An intercode of index n for some $n \geq 1$ is a bifix code. Let $Z \subset A^*$ be an intercode of index n , $n \geq 1$. Then for every m , $m \geq n$, Z is an intercode of index m ([9]).

Proposition 6. Let $Z \subset A^*$ be an intercode of index n and let $Y \subset B^*$ be an extractable code such that $m(Y) \geq n$. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Example 3 . Let $B = \{a_1, \dots, a_n\}$ be an alphabet and m an integer. For arbitrary $p_1, \dots, p_n \geq m$, the code $Y = \{a_1^{p_1}, \dots, a_n^{p_n}\}$ is extractable. Let $Z = \{w_1, \dots, w_n\}$ is an intercode of index m . $\pi : a_i \rightarrow w_i$, $i = 1, \dots, n$, is a bijection. Thus $X = Y \circ_\pi Z = \{w_1^{p_1}, \dots, w_n^{p_n}\}$ is an extractable code.

A code $Z \subset A^*$ is *comma-free* if for all $z \in Z^+$, $u, v \in A^*$, $uzv \in Z^*$ implies $u, v \in Z^*$ ([1, p.336]). It is shown that a code Z is comma-free if and only if Z is an intercode of index 1 ([9]). It is obvious that a comma-free code is extractable.

Corollary 7. Let $Z \subset A^*$ be a comma-free code, and let Y be an extractable code. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Therefore, in particular, if both Y and Z are comma-free, then $Y \circ Z$ is extractable. In fact, it is known that $Y \circ Z$ is comma-free ([1, p.337]).

Definition 3. Let n be an integer. A code $Z \subset A^*$ is a Jn -code if for all $c_i, d_i \in Z$, $1 \leq i \leq n$, and $u \in ZA^-, v \in A^*$, the equality

$$ud_1 \cdots d_n = c_1 \cdots c_n v \quad \text{implies} \quad u = v = 1.$$

Remark 3. An infix Jn -code is an intercode of index n .

Definition 3. Let n be an integer. A code $Z \subset A^*$ is an Jn_a -code if for all $c_i, d_i \in Z$, $1 \leq i \leq n$, and $u \in ZA^-, v \in A^*$, the equality $ud_1 \cdots d_n = c_1 \cdots c_n v$ implies one of the following conditions:

- (1) $u = v = 1$,
- (2) $u, v \in A^+$, $d_1 = \cdots = d_n = c_1 = \cdots = c_n$,
- (3) $u, v \in A^+$, $v \in Z^*(ZA^-)$, $c_1 \neq c_2$, $d_1 = \cdots = d_n = c_2 = \cdots = c_n$.

Let Z be a code. If Z is not a prefix code, then there exist some $c, d \in Z$ and $w \in A^+$ such that $c = dw \in Z \cap ZA^+$. Since $1 \cdot (c \cdots c) = (c \cdots d)w$, $1 \notin A^+$, $w \in A^+$. Hence the code Z is not a Jn_a -code. If Z is not a suffix code, then Z is not a $J2_a$ -code. Hence Jn_a -code is a bifix code.

Proposition 8. Let $Z \subset A^*$ be an infix Jn_a -code. Let $Y \subset B^*$ be an extractable code such that $m(Y) \geq n$. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Let $C \subset A^*$ be a bifix code such that $A^+C^nA^+ \cap C^n = \emptyset$ for some $n \geq 1$. Then $\mu(C) \leq n - 1$ and $A^+C^nA^+ \cap C^m = \emptyset$ for any $m \leq n$. However, in general, $A^+C^nA^+ \cap C^n = \emptyset$ does not imply $A^+C^nA^+ \cap C^{n+1} = \emptyset$.

Proposition 9. Let $Z \subset A^*$ be an $J2_a$ -code such that $A^+Z^nA^+ \cap Z^n = \emptyset$ for some $n \geq 2$, and let $Y \subset B^*$ be an extractable code such that $m(Y) \geq n$. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Example 4. The code $Y = \{abc, bca, cab\}$ over $\{a, b, c\}$ is an extractable code such that $m(Y) = 3$. $Z = \{(ab)^2, ba^2b, a^2(ab)^2b^2\}$ is an $J2_a$ -code such that $Z^2 \cap A^+Z^2A^+ = \emptyset$. Since $(ab)^2, a^2(ab)^2b^2 \in Z$ and $a^2b^2 \notin Z^*$, Z is not extractable. By Proposition 9

$$X = Y \circ_\pi Z = \{(ab)^2ba^2ba^2(ab)^2b^2, ba^2ba^2(ab)^2b^2(ab)^2, a^2(ab)^2b^2(ab)^2ba^2b\}$$

is an extractable code, where $\pi : a \rightarrow (ab)^2, b \rightarrow ba^2b, c \rightarrow a^2(ab)^2b^2$.

Definition 4. Let n be an integer. A code $Z \subset A^*$ is an In -code if for all $c_i, d_i \in Z, 1 \leq i \leq n$, and $u \in ZA^-, v \in A^*$, the equality $ud_1d_2 \cdots d_n = c_1c_2 \cdots c_nv$ implies the one of the following

- (1) $u = v = 1$,
- (2) $u, v \in A^+, v \notin Z^*(ZA^-)$.

Note that any nonempty subset of an In -code is also an In -code. Let Z be an In -code. Suppose that $x, xy \in Z^*, y \in Z^+$. Then $1 \cdot (xx \cdots xy) = (xx \cdots x) \cdot y$ and $1 \notin A^+$. However, this contradicts our hypothesis that Z is an In -code. Therefore Z must be prefix. Now, suppose that $x, yx \in Z, y \in A^+$. Then $y \cdot (xx \cdots x) = ((yx)x \cdots x) \cdot 1$ and $1 \notin A^+$. This is a contradiction. Hence Z is suffix. Thus Z is a bifix code. Therefore, an In -code Z is a bifix code.

Proposition 10. Let $Z \subset A^*$ be an $I2$ -code such that $A^+Z^nA^+ \cap Z^n = \emptyset$ for some $n \geq 2$. Let $Y \subset B^*$ be an extractable code with $m(Y) \geq n$. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Definition 5. Let n be an integer with $n \geq 2$. A code $Z \subset A^*$ is an In_a -code if for all $u \in ZA^-, v \in A^*$ and $c_i, d_i \in Z, 1 \leq i \leq n$, the equality $ud_1d_2 \cdots d_n = c_1c_2 \cdots c_nv$ implies one of the following conditions:

- (1) $u = v = 1$,
- (2) $u, v \in A^+, v \notin Z^*(ZA^-)$,
- (3) $u, v \in A^+, d_1 = \cdots = d_n = c_1 = \cdots = c_n$.

Note that any nonempty subset of an In_a -code is also an In_a -code. Let Z be an In_a -code. If $d = cw \in Z \cap ZA^+, d, c \in Z, w \in A^+, 1(c \cdots c)d = (c \cdots c)w$ and $1 \in ZA^-, w \neq 1$. This

contradicts the fact that Z is an In_a -code. If $d = wc \in Z \cap A^+Z$, $d, c \in Z$, $w \in A^+$. This also yields a contradiction. Thus an $I2_a$ -code is a bifix code.

Proposition 11. Let $Z \subset A^*$ be an infix In_a -code, and let $Y \subset B^*$ be an extractable code such that $m(Y) \geq n$. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Corollary 12. Let $A = \{a_1, a_2, \dots, a_m\}$ and $Z = \{a_1^{p_1}, a_2^{p_2}, \dots, a_m^{p_m}\}$, where p_i , $1 \leq i \leq m$, are arbitrary positive integers. Let Y be an extractable code such that $m(Y) \geq 2$. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Example 5. $Y = \{a^3, ab, ba\}$ is an extractable code. $Z = \{a, b^2\}$ is an infix $I2_a$ -code. Define the bijections $\pi_1 : a \rightarrow a, b \rightarrow b^2$, and $\pi_2 : a \rightarrow b^2, b \rightarrow a$. Then we obtain two extractable codes

$$X_1 = Y \circ_{\pi_1} Z = \{a^3, ab^2, b^2a\} \quad \text{and} \quad X_2 = Y \circ_{\pi_2} Z = \{ab^2, b^2a, b^6\}.$$

Proposition 13. Let $Z \subset A^*$ be an $I2_a$ -code such that $A^+Z^nA^+ \cap Z^n = \emptyset$ for some $n \geq 2$, and let $Y \subset B^*$ be an extractable code such that $m(Y) \geq n$. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Definition 6. Let n be an integer with $n \geq 2$. A code $Z \subset A^*$ is an In_b -code if for all $u \in ZA^-, v \in A^*$ and $c_i, d_i \in Z, 1 \leq i \leq n$ the equality $ud_1d_2 \dots d_n = c_1 \dots c_nv$ implies one of the following conditions:

- (1) $u = v = 1$,
- (2) $u, v \in A^+, v \notin Z^*(ZA^-)$,
- (3) $u, v \in A^+, v \in Z^*(ZA^-), d_1 = d_2 = \dots = d_n$.

It is easily shown that an In_b -code is a bifix code.

Proposition 14. Let $Z \subset A^*$ be an infix In_b -code, and let $Y \subset B^*$ be an extractable code such that $m(Y) \geq n$ and $b^t \notin Y$ for all $b \in B$ and $t \geq 2$. If Y and Z are composable, then $X = Y \circ Z$ is extractable.

Lemma 15. Let $Y \subset B^*$ and $Z \subset A^*$ be composable codes, and $X = Y \circ_{\pi} Z$.

- (1) If both Y and Z are pure, then X is pure.
- (2) If both Y^* and Z^* are very pure, then X^* is very pure. ([1, p.328, Proposition 1.9])

Corollary 16. Let $Z \subset A^*$ be an infix In_b -code, and let $Y \subset B^*$ be an extractable code such that $m(Y) \geq n$ and $b^t \notin Y$ for all $b \in B$ and $t \geq 2$.

- (1) If Y and Z is composable, and if both Y and Z are pure, then $Y \circ Z$ is an extractable pure

code.

(2) If Y and Z is composable, and if both Y^* and Z^* are very pure, then $(Y \circ Z)^*$ is an extractable very pure submonoid of A^* .

Example 6. Let $A = \{a, b\}$. $Y = a^2(aba)^*b \subset A^*$ is an extractable pure code such that $m(Y) = 3$ and $c^p \notin Y$ for all $c \in A$ and $p \geq 1$. $\{ab, ba\}$ is a pure $I2_b$ -code. Thus, for $\pi : a \rightarrow ab, b \rightarrow ba$,

$$X = Y \circ_\pi Z = (ab)^2(ab^2a^2b)^*ba$$

is an extractable pure code.

Proposition 17. Let A be an alphabet, and let K_i , $1 \leq i \leq n$, be nonempty subsets of A . Then $X = K_1K_2 \cdots K_n$ is an extractable code.

Proposition 18. Let Z be a code, and let H_i , $1 \leq i \leq m$, be nonempty subsets of Z . Furthermore, let $H = H_1H_2 \cdots H_m$.

- (1) If Z is an intercode of index n , and if $m \geq n$, then H is an extractable code. In particular, the code Z^m is extractable.
- (2) If Z is a $J2_a$ -code such that $Z^n \cap A^+Z^nA^+ = \emptyset$, and if $m \geq n$, then H is an extractable code.
- (3) If Z is an infix Jn_a -code such that $m \geq n$, then H is an extractable code.
- (4) If Z is an $I2$ -code such that $Z^n \cap A^+Z^nA^+ = \emptyset$, and if $m \geq n$, then H is an extractable code.
- (5) If Z is an infix In_a -code such that $m \geq n$, then H is an extractable code.
- (6) If Z is an $I2_a$ -code such that $Z^n \cap A^+Z^nA^+ = \emptyset$, and if $m \geq n$, then H is an extractable code.
- (7) If Z is an infix In_b -code such that $m \geq n$, and if $\cap_{i=1}^n H_i = \emptyset$, then H is an extractable code.

Now, we examine the initial literal shuffles of codes related to extractable codes.

Definition 7 ([2]). Let $x, y \in A^*$. Then the *initial literal shuffle* $x \bullet y$ of x and y is defined as follows:

- (1) If either $x = 1$ or $y = 1$, then $x \bullet y = xy$.
- (2) Let $x = a_1a_2 \cdots a_m$ and let $y = b_1b_2 \cdots b_n$, $a_i, b_j \in A$. Then

$$x \bullet y = \begin{cases} a_1b_1a_2b_2 \cdots a_nb_na_{n+1}a_{n+2} \cdots a_m & \text{if } m \geq n, \\ a_1b_1a_2b_2 \cdots a_mb_mb_{m+1}b_{m+2} \cdots b_n & \text{if } m < n. \end{cases}$$

For two subsets C_1 and C_2 we set $C_1 \bullet C_2 = \{c_1 \bullet c_2 \mid c_1 \in C_1, c_2 \in C_2\}$.

For fundamental properties of initial literal shuffles of codes, refer to [3] and [6].

Proposition 19 ([6]). Let $C \subset A^n$. Then C is extractable if and only if $C \bullet C$ is extractable.

Definition 8. Let Z be a code and $x, y \in Z^*$. Then the word $x \bullet_Z y$ is defined as follows:

- (1) If either $x = 1$ or $y = 1$, then $x \bullet_Z y = xy$.

(2) Let $x = a_1 a_2 \cdots a_m$, and let $y = b_1 b_2 \cdots b_n$, $a_i, b_j \in Z$ ($1 \leq i \leq m$, $1 \leq j \leq n$). Then

$$x \bullet_Z y = \begin{cases} a_1 b_1 a_2 b_2 \cdots a_n b_n a_{n+1} a_{n+2} \cdots a_m & \text{if } m \geq n, \\ a_1 b_1 a_2 b_2 \cdots a_m b_m b_{m+1} b_{m+2} \cdots b_n & \text{if } m < n. \end{cases}$$

For two subsets $C_1 \subset Z^*$ and $C_2 \subset Z^*$ we set $C_1 \bullet_Z C_2 = \{c_1 \bullet_Z c_2 \mid c_1 \in C_1, c_2 \in C_2\}$.

Proposition 20. Let $Y \subset B^m$, $m \geq 2$, be an extractable uniform code, and let Z be a code.

Assume that Y and Z are composable, and put $X = Y \circ Z$. Then

- (1) If Z is an intercode of index n , and if $m \geq n$, then $X \bullet_Z X$ is extractable.
- (2) If Z is a $J2_a$ -code such that $Z^n \cap A^+ Z^n A^+ = \emptyset$, and if $m \geq n$, then $X \bullet_Z X$ is extractable.
- (3) If Z is an infix Jn_a -code such that $m \geq n$, then $X \bullet_Z X$ is extractable.
- (4) If Z is an $I2$ -code such that $Z^n \cap A^+ Z^n A^+ = \emptyset$, and if $m \geq n$, then $X \bullet_Z X$ is extractable.
- (5) If Z is an infix In_a -code such that $m \geq n$, then $X \bullet_Z X$ is extractable.
- (6) If Z is an $I2_a$ -code such that $Z^n \cap A^+ Z^n A^+ = \emptyset$, and if $m \geq n$, then $X \bullet_Z X$ is extractable.
- (7) If Z is an infix In_b -code such that $m \geq n$ and $b^m \notin Y$ for all $b \in B$, then $X \bullet_Z X$ is extractable.

3 SOME RELATED REMARKS

There are not a few examples in which for a bifix code Z and some suitable integer n the code Z^n becomes an extractable code. However there exists a code Z such that Z^n is not extractable for any $n \geq 1$.

Example 7. A reflective code Z is extractable if and only if the following condition holds:

For any $[x], [y] \in A^*/P_{C^*}^{(r)}$

$$\text{Cont}_{C^*}^{(r)}(x) \cap \text{Cont}_{C^*}^{(r)}(y) \neq \emptyset \implies [x] = [y].$$

That fact has already been shown in [5, Proposition 8]. Let $Z = Cl((ab)^2 a)$, and n be an arbitrary integer. Then $ab \in \text{Cont}_Z^{(r)}(aba) \cap \text{Cont}_Z^{(r)}(aab)$ and $ba \in \text{Cont}_Z^{(r)}((aba) - \text{Cont}_Z^{(r)}((aab))$.

Therefore Z^n is not extractable for $n = 1$. For $n \geq 2$, we have

$$(ababa)^n \in Z^n, aab(ababa)^n ba(ababa)^{n-1} = (aabab)(abaab)^{n-1}(ababa)^n \in (Z^n)^2.$$

However, $aabba(ababa)^{n-1} \notin (Z^n)^*$. Therefore Z^n is not an extractable code for any $n \geq 1$.

Let $C \subset A^*$ be a code, and let $u, v \in CA^-$. We write $[u] \downarrow [v]$ if $\text{Cont}_{C^*}^{(r)}(v)$ is not contained in $\text{Cont}_{C^*}^{(r)}(u)$. If $[v]_{c^*} = [w_\emptyset]_{c^*}$, then $\text{Cont}_{C^*}^{(r)}(v) = \emptyset$. In this case, $\text{Cont}_{C^*}^{(r)}(v)$ is contained in $\text{Cont}_{C^*}^{(r)}(u)$ for any $u \in A^*$. Therefore, if $[u] \downarrow [v]$ for some $u \in A^*$, the set $\text{Cont}_{C^*}^{(r)}(v)$ is not the emptyset.

Proposition 21. Let Z be an infix code. If there exist $z \in Z$ and $[u], [v] \in A^*/P_{Z^*}^{(r)}$ such that $[uz] = [v]$, $[u] \downarrow [v]$, and $[wzz] = [wz]$ for any $w \in A^*$, then Z^n is not extractable for any $n \geq 1$.

For a prefix code C we defined the automaton $\mathcal{A}(C^*) = (A^*/P_{C^*}^{(r)}, A, \delta, [1], \{[1]\})$, where δ is the transition function such that $\delta([w], x) = [wx]$ for $[w] \in A^*/P_{C^*}^{(r)}$ and $x \in A$. This automaton is $[0]$ -transitive (for definition, see [4, p.213]). For each $x \in A^*$ the transformation $t(x)$ on the state set $A^*/P_{C^*}^{(r)}$ is defined by $t(x) : [w] \rightarrow [wx]$, $[w] \in A^*/P_{C^*}^{(r)}$. The monoid $T(C^*) = \{t(x) \mid x \in A^*\}$ is called the *transition monoid* of the automaton $\mathcal{A}(C^*)$. $T(C^*)$ is isomorphic to the *syntactic monoid* of C^* (e.g., see [1] or [4]). Let $S_{[1]} = \{t(w) \mid w \in C^*\}$. Then $S_{[1]}$ is the *stabilizer* of a state $[1]$ in the automaton $\mathcal{A}(C^*)$. If $t(w) \in S_{[1]}$, and if $t(w)([u]) = [w_\emptyset]$ for all $[u] \in A^*/P_{C^*}^{(r)} - \{[1]\}$, then $t(w)$ is called the *zero-element* of $S_{[1]}$. By 0_1 we denote the zero element of $S_{[1]}$.

Let $T(Z^*)$ be the transition monoid of the automaton $\mathcal{A}(Z^*)$, and let $z \in Z$. The condition that $[wzz] = [wz]$ for all $w \in A^*$ means that the transformation $t(z)$ is an idempotent. Therefore, if there exists an idempotent $t(z)$, $z \in Z$, such that $t(z)([u]) = [v]$ for some $u, v \in ZA^-$ with $[u] \downarrow [v]$, then, by Proposition 19, Z^n is not extractable for all $n \geq 1$.

Example 8. Let $C = \{a^3, a^2b, aba, b^2\}$. Then $A^*/P_{C^*}^{(r)} = \{1, 2, 3, 4, 5, 0\}$, where $1 = [1]$, $2 = [a]$, $3 = [a^2]$, $4 = [ab]$, $5 = [b]$, $0 = [ba]$. The following figure is the tree of C .

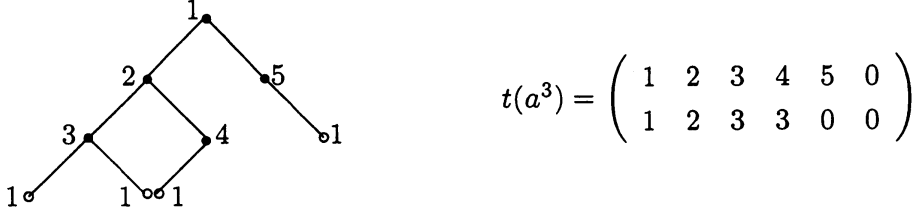


Fig. 5.

$$t(a^3) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 0 \\ 1 & 2 & 3 & 3 & 0 & 0 \end{pmatrix}$$

The transformation $t(a^3)$ is an idempotent, and $4 \downarrow 3$ since $\text{Cont}_C^{(r)}(a^2) = \{a, b\}$ and $\text{Cont}_C^{(r)}(ab) = \{a\}$. Thus C^n is not extractable for any $n \geq 1$.

Remark 4. $T(C^*)$ is generated by the set $\{t(a) \mid a \in A\}$. For $z = a_1a_2 \cdots a_n \in C$, $a_i \in A$, $1 \leq i \leq n$, we normally gain $t(z)$ by computing the products $t(a_1)t(a_2) \cdots t(a_n)$ of transformations. Without such computation, however, we can obtain $t(z)$ directly by using the tree of C . For instance, in Example 8, from the tree of C (Fig. 5) we have

$1 \xrightarrow{aba} 1$, $0 \xrightarrow{aba} 0$, $2 \xrightarrow{a} 3 \xrightarrow{b} 1 \xrightarrow{a} 2$, $3 \xrightarrow{a} 1 \xrightarrow{b} 5 \xrightarrow{a} 0$, $4 \xrightarrow{a} 1 \xrightarrow{b} 5 \xrightarrow{a} 0$, $5 \xrightarrow{a} 0 \xrightarrow{ba} 0$. Therefore,

$$t(aba) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 0 \\ 1 & 2 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

Lastly we present a characterization of an intercode Z by using transformations in $T(Z^*)$.

Proposition 22. A code $Z \subset A^*$ is an intercode of index n if and only if $t(w) = 0_1$ holds for all $w \in Z^n$.

Corollary 23. Let $Z \subset A^*$ be a code, and let $T(Z^*)$ be the transition monoid of the automaton $\mathcal{A}(Z^*)$. If the subset $t(Z)$ of $T(Z^*)$ contains an idempotent which is neither the identity of $T(Z^*)$ nor the element 0_1 of $T(Z^*)$, then Z is not an intercode.

Corollary 24. Let $Z \subset A^*$ be an infix code. The following conditions are equivalent:

- (1) Z is an *In*-code.
- (2) Z is an intercode of index n .
- (3) $t(w) = 0_1$ holds for all $w \in Z^n$.

As an elementary consequence of Proposition 22 we have the following assertion:

Example 9. The code Z is comma-free if and only if $t(Z) = \{0_1\}$.

Example 10. We show that $Z = \{a^2bab, acbab, bab, cac\}$ is an intercode of index 4:

The tree of Z

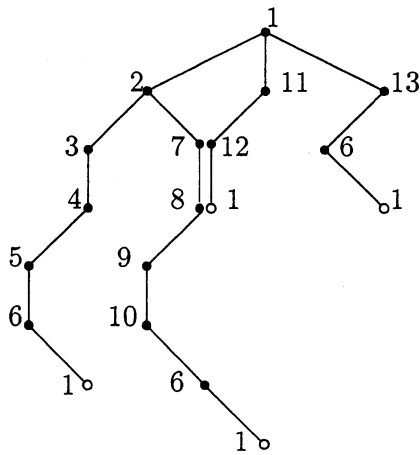


Fig. 6.

$$t(a^2bab) = t(acbab) = 0_1.$$

$$x = t(bab) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 6 & 0 & 0 & 0 & 10 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$y = t(cac) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 0 & 0 & 0 & 7 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$xy = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 7 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$yx = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 0 & 0 & 0 & 10 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

Thus $t(Z^2) = \{0_1, xy, yx\}$. Since

$$x \cdot yx = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 0 \\ 1 & 0 & 10 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \quad \text{and} \quad y \cdot t(Z^2) = \{0_1\},$$

we have $t(Z^3) = \{xyx, 0_1\}$. Since $t(w)(10) = 0$ for all $w \in Z$, we have $t(Z^4) = t(Z^3)t(Z) = \{0_1\}$. Thus Z is an intercode of index 4.

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