

# Recent development on James constant of 2-dimensional Lorentz sequence spaces

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**Abstract.** The James constant of a Banach space  $X$  was introduced by Gao and Lau [3] and has recently been studied by several authors. In this paper, we present some recent results on James constant of 2-dimensional Lorentz sequence space.

## 1 Introduction

In the paper, we consider the James constant  $J(X)$  of a Banach space  $X$ :

$$J(X) = \sup\{\min\{\|x + y\|, \|x - y\|\} : x, y \in S_X\},$$

where  $S_X = \{x \in X : \|x\| = 1\}$ . This notion was introduced by Gao and Lau [3] and recently it has been studied by several authors (cf. [5, 6, 17]).  $\sqrt{2} \leq J(X) \leq 2$  for any Banach space  $X$ . In particular,  $J(X) = \sqrt{2}$  if  $X$  is a Hilbert space. If  $1 \leq p \leq \infty$  and  $\dim L_p \geq 2$ , then  $J(L_p) = \max\{2^{1/p}, 2^{1/p'}\}$ , where  $1/p + 1/p' = 1$ .  $J(X) < 2$  holds if and only if  $X$  is uniformly non-square; that is, there exists a  $\delta > 0$  such that  $x, y \in S_X$  and  $\|(x - y)/2\| \geq 1 - \delta$  imply  $\|(x + y)/2\| \leq 1 - \delta$ . Banach spaces with uniformly normal structure are characterized in terms of James constant (see [4, 5]). The Schäffer constant  $g(X)$  of a Banach space  $X$  is defined by

$$g(X) = \inf\{\max\{\|x + y\|, \|x - y\|\} : x, y \in S_X\}.$$

We know that  $1 \leq g(X) \leq \sqrt{2} \leq J(X) \leq 2$  and  $g(X)J(X) = 2$  for all Banach spaces  $X$ .

A norm  $\|\cdot\|$  on  $\mathbb{R}^2$  is said to be absolute if  $\|(z, w)\| = \|(|z|, |w|)\|$  for all  $(z, w) \in \mathbb{R}^2$ , and normalized if  $\|(1, 0)\| = \|(0, 1)\| = 1$ . The  $\ell_p$ -norms  $\|\cdot\|_p$  are such examples;

$$\|(z, w)\|_p = \begin{cases} (|z|^p + |w|^p)^{1/p} & \text{if } 1 \leq p < \infty, \\ \max\{|z|, |w|\} & \text{if } p = \infty. \end{cases}$$

Let  $AN_2$  be the set of all absolute normalized norms on  $\mathbb{R}^2$ , and  $\Psi_2$  the set of all convex functions  $\psi$  on  $[0, 1]$  satisfying  $\max\{1-t, t\} \leq \psi(t) \leq 1$  ( $0 \leq t \leq 1$ ). As in Bonsall and Duncan [1],  $AN_2$  and  $\Psi_2$  are in 1-1 correspondence under the equation

$$\psi(t) = \|(1-t, t)\| \quad (0 \leq t \leq 1). \quad (1)$$

Indeed, for all  $\psi \in \Psi_2$  let

$$\|(z, w)\|_\psi = \begin{cases} (|z| + |w|)\psi\left(\frac{|w|}{|z| + |w|}\right) & \text{if } (z, w) \neq (0, 0), \\ 0 & \text{if } (z, w) = (0, 0). \end{cases}$$

Then  $\|\cdot\|_\psi \in AN_2$ , and  $\|\cdot\|_\psi$  satisfies (1). From this result, we can consider many non  $\ell_p$ -type norms easily. Now let  $\psi_p(t) = \{(1-t)^p + t^p\}^{1/p} \in \Psi_2$ . As is easily seen, the  $\ell_p$ -norm  $\|\cdot\|_p$  is associated with  $\psi_p$ .

In this note we present some recent results about James constants of two dimensional Lorentz sequence space  $d^{(2)}(\omega, q)$  and its dual  $d^{(2)}(\omega, q)^*$ . In general,  $J(X) \neq J(X^*)$  for a space  $X$  and its dual  $X^*$ , whereas we will obtain  $J(d^{(2)}(\omega, q)) = J(d^{(2)}(\omega, q)^*)$  for all  $\omega, q$ .

## 2 James constant

We first discuss James constant of absolute norms. Let  $X$  be a Banach space and  $x, y \in X$ . We say that  $x$  is isosceles orthogonal to  $y$ , denoted by  $x \perp_I y$ , if  $\|x+y\| = \|x-y\|$ . We define a function  $\beta(x)$  on  $X$  by

$$\beta(x) = \sup\{\min\{\|x+y\|, \|x-y\|\} : y \in S_X\}.$$

To obtain  $J((\mathbb{R}^2, \|\cdot\|_\psi))$ , we need the following lemma.

**Lemma 1** ([3]). *Let  $\psi \in \Psi_2$  and  $x \in S_{(\mathbb{R}^2, \|\cdot\|_\psi)}$ . Then there exists uniquely a vector  $y_0 \in S_{(\mathbb{R}^2, \|\cdot\|_\psi)}$  with  $x \perp_I y_0$ . Moreover,  $\beta(x) = \|x + y_0\|_\psi$ .*

From this lemma, we can write

$$J((\mathbb{R}^2, \|\cdot\|_\psi)) = \sup\{\|x+y\|_\psi : x, y \in S_{(\mathbb{R}^2, \|\cdot\|_\psi)}, x \perp_I y\}.$$

We recall that an absolute normalized norm  $\|\cdot\|$  on  $\mathbb{R}^2$  is symmetric in the sense that  $\|(x, y)\| = \|(y, x)\|$  for all  $(x, y) \in \mathbb{R}^2$  if and only if the corresponding function  $\psi$  is symmetric with respect to  $t = 1/2$ , that is,  $\psi(1-t) = \psi(t)$  for every  $t \in [0, 1]$ . Using Lemma 1 we can obtain the following formula by using  $\psi$  in  $\Psi_2$ .

**Theorem 2** ([11]). *Let  $\psi \in \Psi_2$ . If  $\psi$  is symmetric with respect to  $t = 1/2$ , then*

$$J((\mathbb{R}^2, \|\cdot\|_\psi)) = \max_{0 \leq t \leq 1/2} \frac{2-2t}{\psi(t)} \psi\left(\frac{1}{2-2t}\right).$$

**Corollary 3** ([11]). *Let  $\psi \in \Psi_2$ . Assume that  $\psi$  is symmetric with respect to  $t = 1/2$ .*

(i) *If  $\psi \geq \psi_2$  and  $M_1 = \max_{0 \leq t \leq 1} \psi(t)/\psi_2(t)$  is taken at  $t = 1/2$ , then*

$$J((\mathbb{R}^2, \|\cdot\|_\psi)) = 2\psi\left(\frac{1}{2}\right).$$

(ii) *If  $\psi \leq \psi_2$  and  $M_2 = \max_{0 \leq t \leq 1} \psi_2(t)/\psi(t)$  is taken at  $t = 1/2$ , then*

$$J((\mathbb{R}^2, \|\cdot\|_\psi)) = \frac{1}{\psi(1/2)}.$$

**Example 1.** Let  $1 \leq p \leq \infty$  and  $1/p + 1/p' = 1$ .

(i) If  $1 \leq p \leq 2$ , then  $J((\mathbb{R}^2, \|\cdot\|_p)) = 2\psi_p(1/2) = 2^{1/p}$ .

(ii) If  $2 \leq p \leq \infty$ , then  $J((\mathbb{R}^2, \|\cdot\|_p)) = 1/\psi_p(1/2) = 2^{1/p'}$ .

For  $0 < \omega < 1$  and  $1 \leq q < \infty$ , the 2-dimensional Lorentz sequence space  $d^{(2)}(\omega, q)$  is  $\mathbb{R}^2$  with the norm

$$\|x\|_{\omega, q} = (x_1^{*q} + \omega x_2^{*q})^{1/q}, \quad x = (x_1, x_2) \in \mathbb{R}^2,$$

where  $(x_1^*, x_2^*)$  is the nonincreasing rearrangement of  $(|x_1|, |x_2|)$ ; that is,  $x_1^* = \max\{|x_1|, |x_2|\}$  and  $x_2^* = \min\{|x_1|, |x_2|\}$ . Note here that the norm  $\|\cdot\|_{\omega, q}$  of  $d^{(2)}(\omega, q)$  is a symmetric absolute normalized norm on  $\mathbb{R}^2$ , and the corresponding convex function is given by

$$\psi_{\omega, q}(t) = \begin{cases} ((1-t)^q + \omega t^q)^{1/q} & \text{if } 0 \leq t \leq 1/2, \\ (t^q + \omega(1-t)^q)^{1/q} & \text{if } 1/2 \leq t \leq 1. \end{cases}$$

Kato and Maligranda in [6] computed the James constant of  $d^{(2)}(\omega, q)$  in the case where  $q \geq 2$ .

**Theorem 4** ([6]). *Let  $q \geq 2$  and  $0 < \omega < 1$ . Then*

$$J(d^{(2)}(\omega, q)) = 2 \left( \frac{1}{1+\omega} \right)^{1/q}.$$

For the case where  $q < 2$ , we attempt to calculate it and we had a partial answer in Mitani and Saito [11] and Suzuki, Yamano and Kato [16] by using Theorem 2. In Mitani, Saito and Suzuki [12] we have a complete answer.

**Theorem 5** ([11]). *Let  $q = 1$ . If  $0 < \omega \leq \sqrt{2} - 1$ , then*

$$J(d^{(2)}(\omega, q)) = \frac{2}{1 + \omega}.$$

*If  $\sqrt{2} - 1 < \omega < 1$ , then*

$$J(d^{(2)}(\omega, q)) = 1 + \omega.$$

**Theorem 6** ([12, 14]). *Let  $1 < q < 2$ . (i) If  $0 < \omega \leq (\sqrt{2} - 1)^{2-q}$ , then*

$$J(d^{(2)}(\omega, q)) = 2 \left( \frac{1}{1 + \omega} \right)^{1/q}$$

*and*

$$g(d^{(2)}(\omega, q)) = (1 + \omega)^{1/q}.$$

(ii) *If  $(\sqrt{2} - 1)^{2-q} < \omega < 1$ , then there exists a unique pair of real numbers  $s_0, s_1$  such that*

$$\left( \frac{1 - \omega}{\omega(1 + \omega)} \right)^{p-1} < s_0 < \omega^{1/(2-q)} < s_1 < 1$$

*and  $(1 + s_i)^{q-1}(1 - \omega s_i^{q-1}) = \omega(1 - s_i)^{q-1}(1 + \omega s_i^{q-1})$  for  $i = 0, 1$ .*

(ii-a) *If  $(\sqrt{2} - 1)^{2-q} < \omega \leq \sqrt{2}^q - 1$ , then*

$$J(d^{(2)}(\omega, q)) = \max \left\{ \left( \frac{2(1 + s_0)^{q-1}}{1 + \omega s_0^{q-1}} \right)^{1/q}, 2 \left( \frac{1}{1 + \omega} \right)^{1/q} \right\}$$

*and*

$$g(d^{(2)}(\omega, q)) = \min \left\{ \left( \frac{2(1 + s_1)^{q-1}}{1 + \omega s_1^{q-1}} \right)^{1/q}, (1 + \omega)^{1/q} \right\}.$$

(ii-b) *If  $\sqrt{2}^q - 1 < \omega < 1$ , then*

$$J(d^{(2)}(\omega, q)) = \left( \frac{2(1 + s_0)^{q-1}}{1 + \omega s_0^{q-1}} \right)^{1/q}$$

*and*

$$g(d^{(2)}(\omega, q)) = \left( \frac{2(1 + s_1)^{q-1}}{1 + \omega s_1^{q-1}} \right)^{1/q}.$$

We next consider the dual space of  $d^{(2)}(\omega, q)$ . For  $q = 1$ , it is known that  $d^{(2)}(\omega, 1)^*$  is a 2-dimensional Marcinkiewicz space  $m_\omega$  given by the norm

$$\|(x, y)\|_{m_\omega} = \max \left\{ x^*, \frac{x^* + y^*}{1 + \omega} \right\},$$

where  $x^* = \max\{|x|, |y|\}$ ,  $y^* = \min\{|x|, |y|\}$ . For  $\psi \in \Psi_2$  let  $\|\cdot\|_\psi^*$  be the dual of the norm  $\|\cdot\|_\psi$ . Namely,  $\|x\|_\psi^* = \sup\{|\langle x, y \rangle| : y \in S_{(\mathbb{R}^2, \|\cdot\|_\psi)}\}$  for any  $x \in \mathbb{R}^2$ . Then  $\|\cdot\|_\psi^* \in AN_2$  and the corresponding convex function  $\psi^*$  in  $\Psi_2$  is

$$\psi^*(t) = \sup_{0 \leq s \leq 1} \frac{(1-s)(1-t) + st}{\psi(s)}$$

for  $t$  with  $0 \leq t \leq 1$ .

**Theorem 7** ([13]). *Let  $0 < \omega < 1$ . (i) If  $1 < q < \infty$ , then*

$$\psi_{\omega, q}^*(t) = \begin{cases} ((1-t)^p + \omega^{1-p}t^p)^{1/p}, & \text{if } 0 \leq t < \frac{\omega}{1+\omega}, \\ (1+\omega)^{1/p-1}, & \text{if } \frac{\omega}{1+\omega} \leq t < \frac{1}{1+\omega}, \\ (t^p + \omega^{1-p}(1-t)^p)^{1/p}, & \text{if } \frac{1}{1+\omega} \leq t \leq 1, \end{cases}$$

where  $1/p + 1/q = 1$ .

(ii) If  $q = 1$ , then

$$\psi_{\omega, 1}^*(t) = \begin{cases} 1-t, & \text{if } 0 \leq t < \frac{\omega}{1+\omega}, \\ \frac{1}{1+\omega}, & \text{if } \frac{\omega}{1+\omega} \leq t < \frac{1}{1+\omega}, \\ t, & \text{if } \frac{1}{1+\omega} \leq t \leq 1. \end{cases}$$

Hence,

**Theorem 8** ([13]). *Let  $0 < \omega < 1$ . (i) If  $1 < q < \infty$ , then*

$$\|(x, y)\|_{\omega, q}^* = \begin{cases} (|x|^p + \omega^{1-p}|y|^p)^{1/p} & \text{if } \omega|x| \geq |y|, \\ (1+\omega)^{1/p-1}(|x| + |y|) & \text{if } \omega|x| \leq |y| \leq \omega^{-1}|x|, \\ (|y|^p + \omega^{1-p}|x|^p)^{1/p} & \text{if } \omega^{-1}|x| \leq |y|. \end{cases}$$

(ii) If  $q = 1$ , then

$$\|(x, y)\|_{\omega, 1}^* = \begin{cases} \max\{|x|, \omega^{-1}|y|\} & \text{if } \omega|x| \geq |y|, \\ \frac{1}{1+\omega}(|x| + |y|) & \text{if } \omega|x| \leq |y| \leq \omega^{-1}|x|, \\ \max\{\omega^{-1}|x|, |y|\} & \text{if } \omega^{-1}|x| \leq |y|. \end{cases}$$

Namely,  $\|(x, y)\|_{\omega, 1}^* = \|(x, y)\|_{m_\omega}$ .

We consider the constant  $J(d^{(2)}(\omega, q)^*)$  for  $\omega, q$ .

**Theorem 9** ([13]). (i) Let either  $q \geq 2$  and  $0 < \omega < 1$ , or  $1 < q < 2$  and  $0 < \omega \leq (\sqrt{2} - 1)^{2-q}$ . Then

$$J(d^{(2)}(\omega, q)^*) = 2 \left( \frac{1}{1+\omega} \right)^{1/q}.$$

(ii) Let  $q = 1$ . If  $0 < \omega \leq \sqrt{2} - 1$ , then

$$J(d^{(2)}(\omega, q)^*) = \frac{2}{1+\omega}.$$

If  $\sqrt{2} - 1 < \omega < 1$ , then

$$J(d^{(2)}(\omega, q)^*) = 1 + \omega.$$

Hence  $J(d^{(2)}(\omega, q))$  and  $J(d^{(2)}(\omega, q)^*)$  coincide for such cases. In the case where  $1 < q < 2$  and  $\omega > (\sqrt{2} - 1)^{2-q}$ , we recently obtained the following result.

**Theorem 10** ([13, 14]). Let  $1 < q < 2$  and  $1/p + 1/q = 1$ . If  $(\sqrt{2} - 1)^{2-q} < \omega < 1$ , then there exists a unique pair of real numbers  $s_0, s_1$  such that

$$\left( \frac{1 - \omega}{\omega(1 + \omega)} \right)^{p-1} < s_0 < \omega^{1/(2-q)} < s_1 < 1$$

and  $(1 + s_i)^{q-1}(1 - \omega s_i^{q-1}) = \omega(1 - s_i)^{q-1}(1 + \omega s_i^{q-1})$  for  $i = 0, 1$ .

(i) If  $(\sqrt{2} - 1)^{2-q} < \omega \leq \sqrt{2}^q - 1$ , then

$$J(d^{(2)}(\omega, q)^*) = \max \left\{ \left( \frac{2(1 + s_0)^{q-1}}{1 + \omega s_0^{q-1}} \right)^{1/q}, 2 \left( \frac{1}{1 + \omega} \right)^{1/q} \right\}$$

and

$$g(d^{(2)}(\omega, q)^*) = \min \left\{ \left( \frac{2(1 + s_1)^{q-1}}{1 + \omega s_1^{q-1}} \right)^{1/q}, (1 + \omega)^{1/q} \right\}.$$

(ii) If  $\sqrt{2}^q - 1 < \omega < 1$ , then

$$J(d^{(2)}(\omega, q)^*) = \left( \frac{2(1 + s_0)^{q-1}}{1 + \omega s_0^{q-1}} \right)^{1/q}$$

and

$$g(d^{(2)}(\omega, q)^*) = \left( \frac{2(1 + s_1)^{q-1}}{1 + \omega s_1^{q-1}} \right)^{1/q}.$$

Thus,

**Theorem 11** ([14]). *If  $1 \leq q < \infty$  and  $0 < \omega < 1$ , then*

$$J(d^{(2)}(\omega, q)^*) = J(d^{(2)}(\omega, q))$$

and

$$g(d^{(2)}(\omega, q)^*) = g(d^{(2)}(\omega, q)).$$

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