

EPIMORPHISMS BETWEEN 2-BRIDGE LINK GROUPS: ESSENTIAL SIMPLE LOOPS ON 2-BRIDGE SPHERES

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1. INTRODUCTION

The purpose of this note is to explain some of the ideas in [4] which gives an answer to a question on certain word problems on 2-bridge link groups raised in [10]. The key tool used in the proof is small cancellation theory, applied to two-generator and one-relator presentations of 2-bridge link groups. We note that it has been proved by Weinbaum [16] and Appel and Schupp [2] that the word and conjugacy problems for prime alternating link groups are solvable, by using small cancellation theory (see also [3] and references in it). Moreover, it was also shown by Sela [14] and Pr  aux [11] that the word and conjugacy problems for any link group are solvable. A characteristic feature of [4] is that we give a complete answer to a special (but also natural) word problem for the groups of 2-bridge links, which form a special (but also important) family of prime alternating links. In the sequels [5, 6, 7] of [4], we give a complete answer to certain natural conjugacy problems, and the solutions will be used in [8] to establish a variation of McShane's identity for 2-bridge link groups, which had been conjectured by [13].

2. MAIN RESULTS

Consider the discrete group, H , of isometries of the Euclidean plane $\mathbb{R}^2$ generated by the π -rotations around the points in the lattice $\mathbb{Z}^2$. Set $(S^2, P) := (\mathbb{R}^2, \mathbb{Z}^2)/H$ and call it the *Conway sphere*. Then S^2 is homeomorphic to the 2-sphere, and P consists of four points in S^2 . We also call S^2 the Conway sphere. Let $S := S^2 - P$ be the complementary 4-times punctured sphere. For each $r \in \hat{\mathbb{Q}} := \mathbb{Q} \cup \{\infty\}$, let α_r be the simple loop in S obtained as the projection of a line in $\mathbb{R}^2 - \mathbb{Z}^2$ of slope r . Then α_r is *essential* in S , i.e., it does not bound a disk in S and is not homotopic to a loop around a puncture. Conversely, any essential simple loop in S is isotopic to α_r for a unique $r \in \hat{\mathbb{Q}}$. Then r is called the *slope* of the simple loop. Similarly, any simple arc δ in S^2 joining two different points in P such that $\delta \cap P = \partial\delta$ is isotopic to the image of a line in $\mathbb{R}^2$ of some slope $r \in \hat{\mathbb{Q}}$ which intersects $\mathbb{Z}^2$. We call r the *slope* of δ .

A *trivial tangle* is a pair (B^3, t) , where B^3 is a 3-ball and t is a union of two arcs properly embedded in B^3 which is parallel to a union of two mutually disjoint arcs in ∂B^3 . Let τ be the simple unknotted arc in B^3 joining the two components of t as illustrated in Figure 1. We call it the *core tunnel* of the trivial tangle. Pick a base point x_0 in $\text{int } \tau$, and let (μ_1, μ_2) be the generating pair of the fundamental group $\pi_1(B^3 - t, x_0)$ each of which is represented by a based loop consisting of a small peripheral simple loop around a component of t and a subarc of τ joining the circle to x_0 . For any base point $x \in B^3 - t$, the generating pair of $\pi_1(B^3 - t, x)$ corresponding to the generating pair (μ_1, μ_2) of $\pi_1(B^3 - t, x_0)$ via a

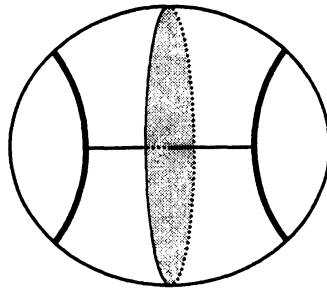


FIGURE 1. A trivial tangle

path joining x to x_0 is denoted by the same symbol. The pair (μ_1, μ_2) is unique up to (i) reversal of the order, (ii) replacement of one of the members with its inverse, and (iii) simultaneous conjugation. We call the equivalence class of (μ_1, μ_2) the *meridian pair* of the fundamental group $\pi_1(B^3 - t)$.

By a *rational tangle*, we mean a trivial tangle (B^3, t) which is endowed with a homeomorphism from $\partial(B^3, t)$ to (S^2, P) . Through the homeomorphism we identify the boundary of a rational tangle with the Conway sphere. Thus the slope of an essential simple loop in $\partial B^3 - t$ is defined. We define the *slope* of a rational tangle to be the slope of an essential loop on $\partial B^3 - t$ which bounds a disk in B^3 separating the components of t . (Such a loop is unique up to isotopy on $\partial B^3 - t$ and is called a *meridian* of the rational tangle.) We denote a rational tangle of slope r by $(B^3, t(r))$. By van Kampen's theorem, the fundamental group $\pi_1(B^3 - t(r))$ is identified with the quotient $\pi_1(\mathcal{S})/\langle\langle\alpha_r\rangle\rangle$, where $\langle\langle\alpha_r\rangle\rangle$ denotes the normal closure.

For each $r \in \hat{\mathbb{Q}}$, the *2-bridge link* $K(r)$ of slope r is defined to be the sum of the rational tangles of slopes ∞ and r , namely, $(S^3, K(r))$ is obtained from $(B^3, t(\infty))$ and $(B^3, t(r))$ by identifying their boundaries through the identity map on the Conway sphere (S^2, P) . (Recall that the boundaries of rational tangles are identified with the Conway sphere.) $K(r)$ has one or two components according as the denominator of r is odd or even. We call $(B^3, t(\infty))$ and $(B^3, t(r))$, respectively, the *upper tangle* and *lower tangle* of the 2-bridge link.

Let $\mathcal{D}$ be the *Farey tessellation*, whose ideal vertex set is identified with $\hat{\mathbb{Q}}$. For each $r \in \hat{\mathbb{Q}}$, let Γ_r be the group of automorphisms of $\mathcal{D}$ generated by reflections in the edges of $\mathcal{D}$ with an endpoint r , and let $\hat{\Gamma}_r$ be the group generated by Γ_r and Γ_∞ . Then the region, R , bounded by a pair of Farey edges with an endpoint ∞ and a pair of Farey edges with an endpoint r forms a fundamental domain of the action of $\hat{\Gamma}_r$ on $\mathbb{H}^2$ (see Figure 2). Let I_1 and I_2 be the closed intervals in $\hat{\mathbb{R}}$ obtained as the intersection with $\hat{\mathbb{R}}$ of the closure of R . Suppose that r is a rational number with $0 < r < 1$. (We may always assume this except when we treat the trivial knot and the trivial 2-component link.) Write

$$r = \frac{1}{m_1 + \frac{1}{m_2 + \cdots + \frac{1}{m_k}}} =: [m_1, m_2, \dots, m_k],$$

where $k \geq 1$, $(m_1, \dots, m_k) \in (\mathbb{Z}_+)^k$, and $m_k \geq 2$. Then the above intervals are given by $I_1 = [0, r_1]$ and $I_2 = [r_2, 1]$, where

$$r_1 = \begin{cases} [m_1, m_2, \dots, m_{k-1}] & \text{if } k \text{ is odd,} \\ [m_1, m_2, \dots, m_{k-1}, m_k - 1] & \text{if } k \text{ is even,} \end{cases}$$

$$r_2 = \begin{cases} [m_1, m_2, \dots, m_{k-1}, m_k - 1] & \text{if } k \text{ is odd,} \\ [m_1, m_2, \dots, m_{k-1}] & \text{if } k \text{ is even.} \end{cases}$$

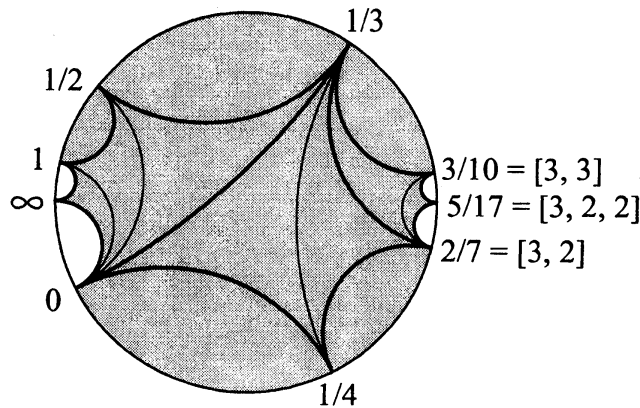


FIGURE 2. A fundamental domain of $\hat{\Gamma}_r$ in the Farey tessellation (the shaded domain) for $r = 5/17 = \frac{1}{3 + \frac{1}{2 + \frac{1}{2}}} =: [3, 2, 2]$.

We recall the following fact ([10, Proposition 4.6 and Corollary 4.7] and [4, Lemma 7.1]) which describes the role of $\hat{\Gamma}_r$ in the study of 2-bridge link groups.

Proposition 2.1. (1) *If two elements s and s' of $\hat{\mathbb{Q}}$ belong to the same orbit $\hat{\Gamma}_r$ -orbit, then the unoriented loops α_s and $\alpha_{s'}$ are homotopic in $S^3 - K(r)$.*

(2) *For any $s \in \hat{\mathbb{Q}}$, there is a unique rational number $s_0 \in I_1 \cup I_2 \cup \{\infty, r\}$ such that s is contained in the $\hat{\Gamma}_r$ -orbit of s_0 . In particular, α_s is homotopic to α_{s_0} in $S^3 - K(r)$. Thus if $s_0 \in \{\infty, r\}$ then α_s is null-homotopic in $S^3 - K(r)$.*

Thus the following question naturally arises (see [10, Question 9.1(2)]).

Question 2.2. (1) Which essential simple loops on $\mathcal{S}$ are null-homotopic in $S^3 - K(r)$?

(2) For two distinct rational numbers $s, s' \in I_1 \cup I_2$, when are the unoriented loops α_s and $\alpha_{s'}$ homotopic in $S^3 - K(r)$?

A complete answer to Question 2.2(1) is given by [4, Main Theorem 2.3] as follows.

Theorem 2.3. *The loop α_s is null-homotopic in $S^3 - K(r)$ if and only if s belongs to the $\hat{\Gamma}_r$ -orbit of ∞ or r . In other words, if $s \in I_1 \cup I_2$ then α_s is not null-homotopic in $S^3 - K(r)$.*

This theorem implies the following theorem [4, Main Theorem 2.4], which gives a partial answer to [10, Question 9.1(1)].

Theorem 2.4. *There is an upper-meridian-pair-preserving epimorphism from $G(K(s))$ to $G(K(r))$ if and only if s or $s + 1$ belongs to the $\hat{\Gamma}_r$ -orbit of r or ∞ .*

The following theorem, established in the series of papers [5, 6, 7], gives a complete answer to Question 2.2(2).

Theorem 2.5. (1) *Suppose $r = 1/p$, where $p \geq 2$ is an integer. Then, for any two distinct $s, s' \in I_1 \cup I_2$, the unoriented loops α_s and $\alpha_{s'}$ are homotopic in $S^3 - K(r)$ if and only if $s = q_1/p_1$ and $s' = q_2/p_2$ satisfy $q_1 = q_2$ and $q_1/(p_1 + p_2) = 1/p$, where (p_i, q_i) is a pair of relatively prime positive integers.*

(2) *Suppose $r = 3/8$, namely $K(r)$ is the Whitehead link. Then, for any two distinct $s, s' \in I_1 \cup I_2$, the unoriented loops α_s and $\alpha_{s'}$ are homotopic in $S^3 - K(r)$ if and only if the set $\{s, s'\}$ equals either $\{1/6, 3/10\}$ or $\{3/4, 5/12\}$.*

(3) *Suppose $r \neq 1/p$ and $r \neq 3/8$. Then, for any two distinct $s, s' \in I_1 \cup I_2$, the unoriented loops α_s and $\alpha_{s'}$ are never homotopic in $S^3 - K(r)$.*

These results will be used in [8] to prove the following variation of McShane's identity, which had been conjectured in [13].

Theorem 2.6. *Suppose $r = q/p$ satisfies the condition $q \not\equiv \pm 1 \pmod{p}$, and let ρ be the holonomy representation of the complete hyperbolic structure of $S^3 - K(r)$. Then the following identity holds:*

$$2 \sum_{s \in \text{int} I_1} \frac{1}{1 + e^{l_\rho(\alpha_s)}} + 2 \sum_{s \in \text{int} I_2} \frac{1}{1 + e^{l_\rho(\alpha_s)}} + \sum_{s \in \partial I_1 \cup \partial I_2} \frac{1}{1 + e^{l_\rho(\alpha_s)}} = -1.$$

Further the modulus $\lambda(L(r))$ of the cusp torus of the cusped hyperbolic manifold $S^3 - K(r)$ with respect to a suitable choice of a longitude is given by the following formula:

$$\lambda(K(r)) = 2 \sum_{s \in \text{int} I_1} \frac{1}{1 + e^{l_\rho(\alpha_s)}} + \sum_{r \in \partial I_1} \frac{1}{1 + e^{l_\rho(\alpha_s)}}.$$

In the above theorem, $l_\rho(\alpha_s)$ is an element of $\mathbb{C}/2\pi\sqrt{-1}\mathbb{Z}$ defined as follows. The $PSL(2, \mathbb{C})$ -representation of $\pi_1(\mathcal{S})$ induced by ρ extends to a representation, denoted by the same symbol ρ , of the orbifold fundamental group of the $(2, 2, 2, \infty)$ -orbifold, $\mathcal{O}$, obtained as the quotient of $\mathcal{S}$ by the natural $\mathbb{Z}/(2\mathbb{Z}) \oplus \mathbb{Z}/(2\mathbb{Z})$ -action (see e.g., [1, Proposition 2.2.2]). Each simple loop α_s in $\mathcal{S}$ doubly covers a simple loop in $\mathcal{O}$. Let $\sqrt{u_s}$ be (a conjugacy class of) an element of $\pi_1(\mathcal{O})$ represented by the simple loop. Then $l_\rho(\alpha_s)$ denotes the complex translation length of the hyperbolic isometry $\rho(\sqrt{u_s}) \in PSL(2, \mathbb{C}) \cong \text{Isom}(\mathbb{H}^3)$.

We also obtain the following theorem concerning the set of end invariants $\mathcal{E}(\rho)$, defined by Tan, Wong and Zhang [15], of the $PSL(2, \mathbb{C})$ -representation of $\pi_1(T)$ induced by the representation ρ in Theorem 2.6, where T is the once-punctured torus obtained as the double covering of the orbifold $\mathcal{O}$.

Theorem 2.7. *Let $r = q/p$ be a rational number. If $q \not\equiv \pm 1 \pmod{p}$, then let ρ be the holonomy representation of the complete hyperbolic structure of $S^3 - K(r)$. If $q \equiv \pm 1 \pmod{p}$, then let ρ be the faithful discrete $PSL(2, \mathbb{R})$ -representation of the quotient of $G(K(r))$ by the infinite cyclic center. In both cases, we continue to denote by the same symbol ρ the $PSL(2, \mathbb{C})$ -representation of $\pi_1(T)$ induced by ρ . Then the set of end invariants $\mathcal{E}(\rho)$ of ρ is equal to the limit set $\Lambda(\hat{\Gamma}_r)$ of $\hat{\Gamma}_r$.*

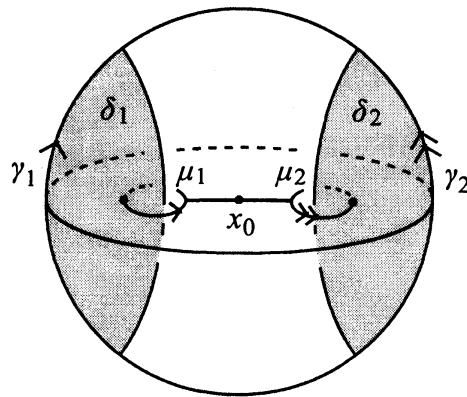


FIGURE 3. $\pi_1(B^3 - t(\infty), x_0) = F(a, b)$, where a and b are represented by μ_1 and μ_2 , respectively.

3. PRESENTATIONS OF 2-BRIDGE LINK GROUPS

In this section, we introduce the upper presentation of a 2-bridge link group which we shall use throughout this paper. By van Kampen's theorem, the link group $G(K(r)) = \pi_1(S^3 - K(r))$ is identified with $\pi_1(\mathcal{S})/\langle\langle\alpha_\infty, \alpha_r\rangle\rangle$. We call the image in the link group of the meridian pair of the fundamental group $\pi_1(B^3 - t(\infty))$ (resp. $\pi_1(B^3 - t(r))$) the *upper meridian pair* (resp. *lower meridian pair*). The link group is regarded as the quotient of the rank 2 free group, $\pi_1(B^3 - t(\infty)) \cong \pi_1(\mathcal{S})/\langle\langle\alpha_\infty\rangle\rangle$, by the normal closure of α_r . This gives a one-relator presentation of the link group.

To find the presentation of $G(K(r))$ explicitly, let a and b , respectively, be the elements of $\pi_1(B^3 - t(\infty), x_0)$ represented by the oriented loops μ_1 and μ_2 based on x_0 as illustrated in Figure 3. Then $\{a, b\}$ forms the meridian pair of $\pi_1(B^3 - t(\infty))$, which is identified with the free group $F(a, b)$. Note that μ_i intersects the disk, δ_i , in B^3 bounded by a component of $t(\infty)$ and the essential arc, γ_i , on $\partial(B^3, t(\infty)) = (\mathcal{S}^2, \mathbf{P})$ of slope $1/0$, in Figure 3. Obtain a word u_r in $\{a, b\}$ by reading the intersection of the (suitably oriented) loop α_r with $\gamma_1 \cup \gamma_2$, where a positive intersection with γ_1 (resp. γ_2) corresponds to a (resp. b). Then the word u_r represents the free homotopy class of α_r . It then follows that

$$\begin{aligned} G(K(r)) &= \pi_1(S^3 - K(r)) \cong \pi_1(B^3 - t(\infty))/\langle\langle\alpha_r\rangle\rangle \\ &\cong F(a, b)/\langle\langle u_r \rangle\rangle \cong \langle a, b \mid u_r \rangle. \end{aligned}$$

If $r \neq \infty$, then α_r intersects γ_1 and γ_2 alternately, and hence a and b appear in (u_r) alternately.

By using the universal abelian covering $\mathbb{R}^2 - \mathbb{Z}^2 \rightarrow \mathcal{S}$, we can write down the word u_r explicitly. Note that the inverse image of γ_1 (resp. γ_2) in $\mathbb{R}^2 - \mathbb{Z}^2$ is the union of the single arrowed (resp. double arrowed) vertical edges in Figure 4. Let $L(r)$ be the line in $\mathbb{R}^2$ of slope r passing through the origin, and let $L^+(r)$ be the line obtained by translating $L(r)$ by the vector $(0, \eta)$ for sufficiently small positive real number η . Then $L^+(r)$ lies in $\mathbb{R}^2 - \mathbb{Z}^2$ and projects to the simple loop α_r . Pick a base point, z , from the intersection of $L^+(r)$ with the second quadrant, and consider the sub-line-segment of $L^+(r)$ bounded by z and $z + (2p, 2q)$. Then it forms a fundamental domain of the covering $L^+(r) \rightarrow \alpha_r$, and the word u_r is obtained by reading the intersection of the line-segment with the vertical lattice lines. To be precise, for each integer $0 \leq i \leq 2p - 1$, let P_i^+ be the intersection of

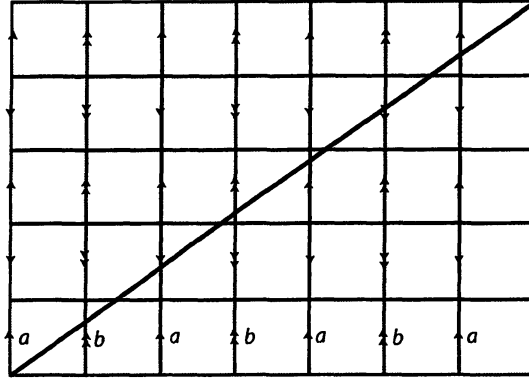


FIGURE 4. The line of slope $5/7$ gives $\hat{u}_{5/7} = ba^{-1}bab^{-1}a$, so the free homotopy class of $\alpha_{5/7}$ is represented by the cyclic word $(u_{5/7}) = (a\hat{u}_{5/7}b^{-1}\hat{u}_{5/7}^{-1}) = (aba^{-1}bab^{-1}ab^{-1}a^{-1}ba^{-1}b^{-1}ab^{-1})$. Since the inverse image of γ_1 (resp. γ_2) in $\mathbb{R}^2$ is the union of the single arrowed (resp. double arrowed) vertical edges, a positive intersection with a single arrowed (resp. double arrowed) edge corresponds to a (resp. b).

the line-segment with the vertical lattice line $x = i$. We define the *letter* at P_i^+ to be a or b according as P_i^+ lies on a vertical edge with a single arrow or double arrow in Figure 4, namely according as i is even or odd. We define the *sign* of P_i^+ to be $+1$ or -1 according as the corresponding arrow is upward or downward. Then the letter and the sign of P_i^+ , respectively, give the letter and the exponent of the $(i + 1)$ -th term of the word u_r for each $0 \leq i \leq 2p - 1$. This gives the following formula for the word u_r (see Figure 4).

$$u_r = a^{\varepsilon_1} b^{\varepsilon_2} \dots a^{\varepsilon_{2p-1}} b^{\varepsilon_{2p}},$$

where $\varepsilon_i = (-1)^{\lceil (i-1)q/p \rceil^* - 1}$. Here $\lceil t \rceil^*$ denotes the smallest integer greater than t .

In order to simplify this formula, let $\hat{u}_r$ be the subword of u_r corresponding to the set $\{P_i^+ \mid 1 \leq i \leq p - 1\}$. Then $\hat{u}_r$ is obtained from the open interval in $L(r)$ bounded by $(0, 0)$ and (p, q) by reading its intersection with the vertical lattice lines, and so we obtain the following formula.

$$\hat{u}_r = \begin{cases} b^{\varepsilon_1} a^{\varepsilon_2} \dots b^{\varepsilon_{p-2}} a^{\varepsilon_{p-1}} & \text{if } p \text{ is odd,} \\ b^{\varepsilon_1} a^{\varepsilon_2} \dots a^{\varepsilon_{p-2}} b^{\varepsilon_{p-1}} & \text{if } p \text{ is even,} \end{cases}$$

where $\varepsilon_i = (-1)^{\lfloor iq/p \rfloor}$. By using the symmetry around (p, q) of $\mathbb{R}^2 - \mathbb{Z}^2$, we can observe that the subword of u_r corresponding to the set $\{P_i^+ \mid p + 1 \leq i \leq 2p - 1\}$ is equal to $\hat{u}_r^{-1}$. Hence we obtain the following formula (see [12, Proposition 1]).

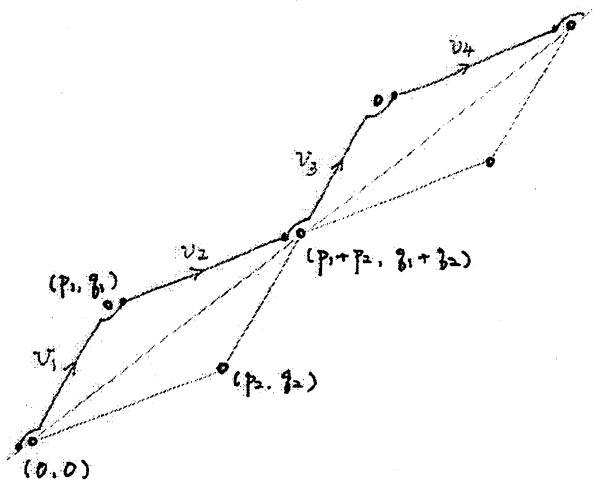
$$u_r = \begin{cases} a\hat{u}_{q/p}b^{(-1)^q}\hat{u}_{q/p}^{-1} & \text{if } p \text{ is odd,} \\ a\hat{u}_{q/p}a^{-1}\hat{u}_{q/p}^{-1} & \text{if } p \text{ is even,} \end{cases}$$

We now describe a natural decomposition of the word u_r , which plays a key role in this paper. Let $r_i = q_i/p_i$ ($i = 1, 2$) be the rational number introduced in Section 2. Consider the infinite broken line, B , obtained by joining the lattice points

$$\dots, (0, 0), (p_2, q_2), (p, q), (p + p_2, q + q_2), (2p, 2q), \dots$$

Canonical decomposition of the relator $u = \alpha_{\frac{q}{p}}$

$\frac{q_i}{p_i}$, ($i=1,2$) : Farey neighbors of $\frac{q}{p}$, st $\frac{q_2}{p_2} < \frac{q}{p} < \frac{q_1}{p_1}$



$$u = v_1 v_2 v_3 v_4, \quad |v_1| = |v_3| = p_1 + 1$$

$$|v_2| = |v_4| = p_2 - 1$$

FIGURE 5. The decomposition of the relator $u_r = v_1 v_2 v_3 v_4$

which is invariant by the translation $(x, y) \mapsto (x + p, y + q)$. By slightly modifying B near the lattice points, we obtain a (topological) line, B^+ , in $\mathbb{R}^2 - \mathbb{Z}^2$, invariant by the translation, which is homotopic to the line $L^+(r)$. Pick a point, $z_0 \in B^+$ in the second quadrant, and consider the sub-path of B^+ bounded by z_0 and $z_4 := z_0 + (2p, 2q)$. Then the word u_r is also obtained by reading the intersection of the sub-path with the vertical lattice lines. Pick a point $z_1 \in B^+$ whose x -coordinate is $p_2 +$ (small positive number), and set $z_2 := z_0 + (p, q)$ and $z_3 := z_1 + (p, q)$. Let B_i^+ be the sub-path of B^+ joining z_{i-1} with z_i ($i = 1, 2, 3, 4$). Let v_i be the subword of u_r corresponding to B_i^+ . Then we have the decomposition

$$u_r = v_1 v_2 v_3 v_4.$$

The subword v_i is non-empty except when $r = 1/p$ ($p \in \mathbb{N}$) and $i \in \{1, 3\}$. The importance of this decomposition is described in the following section.

4. SEQUENCES ASSOCIATED WITH THE SIMPLE LOOP α_r

In this section, we define a sequence $S(r)$ of slope r and a cyclic sequence $CS(r)$ of slope r all of which arise from the single word u_r representing the simple loop α_r , and observe several important properties of these sequences, so that we can adopt small cancellation theory in the succeeding sections.

We fix some definitions and notation. Let X be a set. By a *word* in X , we mean a finite sequence $x_1^{\epsilon_1} x_2^{\epsilon_2} \cdots x_n^{\epsilon_n}$ where $x_i \in X$ and $\epsilon_i = \pm 1$. Here we call $x_i^{\epsilon_i}$ the i -th letter of the word. For two words u, v in X , by $u \equiv v$ we denote the *visual equality* of u and v , meaning

that if $u = x_1^{\epsilon_1} \cdots x_n^{\epsilon_n}$ and $v = y_1^{\delta_1} \cdots y_m^{\delta_m}$ ($x_i, y_j \in X$; $\epsilon_i, \delta_j = \pm 1$), then $n = m$ and $x_i = y_i$ and $\epsilon_i = \delta_i$ for each $i = 1, \dots, n$. The length of a word v is denoted by $|v|$. A word v in X is said to be *reduced* if v does not contain xx^{-1} or $x^{-1}x$ for any $x \in X$. A word is said to be *cyclically reduced* if all its cyclic permutations are reduced. A *cyclic word* is defined to be the set of all cyclic permutations of a cyclically reduced word. By (v) we denote the cyclic word associated with a cyclically reduced word v . Also by $(u) \equiv (v)$ we mean the *visual equality* of two cyclic words (u) and (v) . In fact, $(u) \equiv (v)$ if and only if v is visually a cyclic shift of u .

Definition 4.1. (1) Let v be a nonempty reduced word in $\{a, b\}$. Decompose v into

$$v \equiv v_1 v_2 \cdots v_t,$$

where, for each $i = 1, \dots, t-1$, all letters in v_i have positive (resp. negative) exponents, and all letters in v_{i+1} have negative (resp. positive) exponents. Then the sequence of positive integers $S(v) := (|v_1|, |v_2|, \dots, |v_t|)$ is called the *S-sequence* of v .

(2) Let (v) be a nonempty reduced cyclic word in $\{a, b\}$ represented by a word v . Decompose (v) into

$$(v) \equiv (v_1 v_2 \cdots v_t),$$

where all letters in v_i have positive (resp. negative) exponents, and all letters in v_{i+1} have negative (resp. positive) exponents (taking subindices modulo t). Then the *cyclic S-sequence* of positive integers $CS(v) := ((|v_1|, |v_2|, \dots, |v_t|))$ is called the *cyclic S-sequence* of (v) . Here the double parentheses denote that the sequence is considered modulo cyclic permutations.

Definition 4.2. For a rational number r with $0 < r \leq 1$, let u_r be the word in $\{a, b\}$ defined in Section 3. Then the symbol $S(r)$ (resp. $CS(r)$) denotes the *S-sequence* $S(u_r)$ of u_r (resp. cyclic *S-sequence* $CS(u_r)$ of (u_r)), which is called the *S-sequence of slope r* (resp. the *cyclic S-sequence of slope r*).

In the remainder of this paper unless specified otherwise, we suppose that r is a rational number with $0 < r \leq 1$, and write r as a continued fraction:

$$r = [m_1, m_2, \dots, m_k],$$

where $k \geq 1$, $(m_1, \dots, m_k) \in (\mathbb{Z}_+)^k$ and $m_k \geq 2$ unless $k = 1$. For brevity, we write m for m_1 .

The following proposition plays a key role in the proof of Lemma 5.4 and Theorem 5.2.

Proposition 4.3 ([4, Proposition 4.10]). *The sequence $S(r)$ has a decomposition (S_1, S_2, S_1, S_2) which satisfies the following.*

- (1) *Each S_i is symmetric, i.e., the sequence obtained from S_i by reversing the order is equal to S_i . (Here, S_1 is empty if $k = 1$.)*
- (2) *Each S_i occurs only twice in the cyclic sequence $CS(r)$.*
- (3) *S_1 begins and ends with $m + 1$.*
- (4) *S_2 begins and ends with m .*

The above decomposition corresponds to the decomposition $u_r = v_1 v_2 v_3 v_4$ introduced in Section 3. To be precise, we have $S_1 = S(v_1) = S(v_3)$ and $S_2 = S(v_2) = S(v_4)$. The following proposition plays a key role in the proof of the main theorem.

Proposition 4.4. *Let $S(r) = (S_1, S_2, S_1, S_2)$ be as in Proposition 4.3. For a rational number s with $0 < s \leq 1$, suppose that the cyclic S -sequence $CS(s)$ contains both S_1 and S_2 as a subsequence. Then $s \notin I_1 \cup I_2$.*

5. SMALL CANCELLATION CONDITIONS FOR 2-BRIDGE LINK GROUPS

Let $F(X)$ be the free group with basis X . A subset R of $F(X)$ is called *symmetrized*, if all elements of R are cyclically reduced and, for each $w \in R$, all cyclic permutations of w and w^{-1} also belong to R .

Definition 5.1. Suppose that R is a symmetrized subset of $F(X)$. A nonempty word b is called a *piece* if there exist distinct $w_1, w_2 \in R$ such that $w_1 \equiv bc_1$ and $w_2 \equiv bc_2$. Small cancellation conditions $C(p)$ and $T(q)$, where p and q are integers such that $p \geq 2$ and $q \geq 3$, are defined as follows (see [9]).

- (1) Condition $C(p)$: If $w \in R$ is a product of n pieces, then $n \geq p$.
- (2) Condition $T(q)$: For $w_1, \dots, w_n \in R$ with no successive elements w_i, w_{i+1} an inverse pair ($i \bmod n$), if $n < q$, then at least one of the products $w_1 w_2, \dots, w_{n-1} w_n, w_n w_1$ is freely reduced without cancellation.

The following key theorem enables us to apply small cancellation theory to the groups presentation $\langle a, b | u_r \rangle$ of $G(K(r))$.

Theorem 5.2. *Let r be a rational number such that $0 < r < 1$. Recall the presentation $\langle a, b | u_r \rangle$ of $G(K(r))$ given in Section 3, and let R be the symmetrized subset of $F(a, b)$ generated by the single relator u_r . Then R satisfies $C(4)$ and $T(4)$.*

Definition 5.3. For a positive integer n , a non-empty subword w of the cyclic word (u_r) is called a *maximal n -piece* if w is a product of n pieces and if any subword w' of u_r which properly contains w as an *initial* subword is not a product of n -pieces.

Theorem 5.2 actually follows from the following complete characterizations of the maximal n -pieces for $n = 1, 2, 3$. (For simplicity, we describe the result only for generic case.)

Lemma 5.4. *Suppose that r is a rational number such that $0 < r < 1$ and $r \neq 1/p$ for any integer $p \geq 2$. Let v_{ib}^* be the maximal proper initial subword of v_i , i.e., the initial subword of v_i such that $|v_{ib}^*| = |v_i| - 1$ ($i = 1, 2, 3, 4$). Then the following hold, where v_{ib} and v_{ie} are nonempty initial and terminal subwords of v_i with $|v_{ib}|, |v_{ie}| \leq |v_i| - 1$, respectively.*

- (1) *The following is the list of all maximal 1-pieces of (u_r) , arranged in the order of the position of the initial letter:*

$$v_{1b}^*, v_{1e}v_2, v_2v_{3b}^*, v_{2e}v_{3b}^*, v_{3b}^*, v_{3e}v_4, v_4v_{1b}^*, v_{4e}v_{1b}^*.$$

- (2) *The following is the list of all maximal 2-pieces of (u_r) , arranged in the order of the position of the initial letter:*

$$v_1v_2, v_{1e}v_2v_{3b}^*, v_2v_3v_4, v_{2e}v_3v_4, v_3v_4, v_{3e}v_4v_{1b}^*, v_4v_1v_2, v_{4e}v_1v_2.$$

- (3) *The following is the list of all maximal 3-pieces of (u_r) , arranged in the order of the position of the initial letter:*

$$v_1v_2v_{3b}^*, v_{1e}v_2v_3v_4, v_2v_3v_4v_{1b}^*, v_{2e}v_3v_4v_{1b}^*, v_3v_4v_{1b}^*, v_{3e}v_4v_1v_2, v_4v_1v_2v_{3b}^*, v_{4e}v_1v_2v_{3b}^*.$$

Corollary 5.5. (1) A subword w of the cyclic word $(u_r^{\pm 1})$ is a piece if and only if $S(w)$ does not contain S_1 as a subsequence and does not contain S_2 in its interior, i.e., $S(w)$ does not contain a subsequence (ℓ_1, S_2, ℓ_2) for some $\ell_1, \ell_2 \in \mathbb{Z}_+$.

(2) For a subword w of the cyclic word $(u_r^{\pm 1})$, w is not a product of two pieces if and only if $S(w)$ either contains (S_1, S_2) as a proper initial subsequence or contains (S_2, S_1) as a proper terminal subsequence.

6. OUTLINE OF THE PROOF OF THEOREM 2.3

Let R be the symmetrized subset of $F(a, b)$ generated by the single relator u_r of the group presentation $G(K(r)) = \langle a, b \mid u_r \rangle$. Suppose on the contrary that α_s is null-homotopic in $S^3 - K(r)$, i.e., $u_s = 1$ in $G(K(r))$, for some $s \in I_1 \cup I_2$. Then there is a *van Kampen diagram* M over $G(K(r)) = \langle a, b \mid R \rangle$ such that the boundary label is u_s . Here M is a simply connected 2-dimensional complex embedded in $\mathbb{R}^2$, together with a function ϕ assigning to each oriented edge e of M , as a *label*, a reduced word $\phi(e)$ in $\{a, b\}$ such that the following hold.

- (1) If e is an oriented edge of M and e^{-1} is the oppositely oriented edge, then $\phi(e^{-1}) = \phi(e)^{-1}$.
- (2) For any boundary cycle δ of any face of M , $\phi(\delta)$ is a cyclically reduced word representing an element of R . (If $\alpha = e_1, \dots, e_n$ is a path in M , we define $\phi(\alpha) \equiv \phi(e_1) \cdots \phi(e_n)$.)

We may assume M is *reduced*, namely it satisfies the following condition: Let D_1 and D_2 be faces (not necessarily distinct) of M with an edge $e \subseteq \partial D_1 \cap \partial D_2$, and let $e\delta_1$ and $\delta_2 e^{-1}$ be boundary cycles of D_1 and D_2 , respectively. Set $\phi(\delta_1) = f_1$ and $\phi(\delta_2) = f_2$. Then we have $f_2 \neq f_1^{-1}$.

Moreover, we may assume the following conditions:

- (1) $d_M(v) \geq 3$ for every vertex $v \in M - \partial M$.
- (2) For every edge e of ∂M , the label $\phi(e)$ is a piece.
- (3) For a path $e_1, \dots, e_n$ in ∂M of length $n \geq 2$ such that the vertex $\bar{e}_i \cap \bar{e}_{i+1}$ has degree 2 for $i = 1, 2, \dots, n-1$, $\phi(e_1)\phi(e_2) \cdots \phi(e_n)$ cannot be expressed as a product of less than n pieces.

Since R satisfies the conditions $C(4)$ and $T(4)$ by Theorem 5.2, M is a $[4, 4]$ -map, i.e.,

- (1) $d_M(v) \geq 4$ for every vertex $v \in M - \partial M$.
- (2) $d_M(D) \geq 4$ for every face $D \in M$.

Here, $d_M(v)$, the *degree of* v , denotes the number of oriented edges in M having v as initial vertex, and $d_M(D)$, the *degree of* D , denotes the number of oriented edges in a boundary cycle of D .

Now, for simplicity, assume that M is homeomorphic to a disk. (In general, we need to consider an extremal disk of M .) Then by the Curvature Formula of Lyndon and Schupp (see [9, Corollary V.3.4]), we have

$$\sum_{v \in \partial M} (3 - d_M v) \geq 4.$$

By using this formula, we see that there are three edges e_1, e_2 and e_3 in ∂M such that $e_1 \cap e_2 = \{v_1\}$ and $e_2 \cap e_3 = \{v_2\}$, where $d_M(v_i) = 2$ for each $i = 1, 2$. Since $\phi(e_1)\phi(e_2)\phi(e_3)$ is not expressed as a product of two pieces, we see by Corollary 5.5 that the boundary label

of M contains a subword, w , with $S(w) = (S_1, S_2, \ell)$ or (ℓ, S_2, S_1) . This in turn implies that the S -sequence of the boundary label contains both S_1 and S_2 as subsequences. Hence, by Proposition 4.4, we have $s \notin I_1 \cup I_2$, a contradiction.

7. OUTLINE OF THE PROOF OF THEOREM 2.5

Suppose, for two distinct $s, s' \in I_1 \cup I_2$, the unoriented loops α_s and $\alpha_{s'}$ are homotopic in $S^3 - K(r)$. Then there is a reduced annular R -diagram, such that u_s is an outer boundary label and $u_{s'}^{\pm 1}$ is an inner boundary label of M . Again we can see that M is a $[4, 4]$ -map and hence we have the following curvature formula.

$$0 \leq \sum_{v \in \partial M} (3 - d_M(v)).$$

By using this formula, we obtain the following very strong structure theorem for M , which plays key roles throughout the series of papers [5, 6, 7].

Theorem 7.1. *Figure 6(a) illustrates the only possible type of the outer boundary layer of M , while Figure 6(b) illustrates the only possible type of whole M . (The number of faces per layer and the number of layers are variable.)*

In the above theorem, the *outer boundary layer* of the annular map M is a submap of M consisting of all faces D such that the intersection of ∂D with the outer boundary of M contains an edge, together with the edges and vertices contained in ∂D .

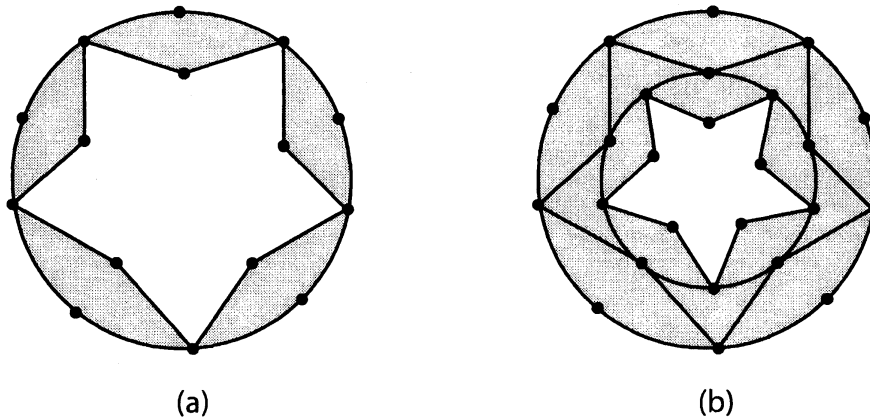


FIGURE 6.

The first paper [5] of the series treats the case when the 2-bridge link is a $(2, p)$ -torus link, the second paper [6], treats the case of 2-bridge links of slope $n/(2n+1)$ and $(n+1)/(3n+2)$, where $n \geq 2$ is an arbitrary integer, and the third paper [7] treats the general case. The two families treated in the second paper play special roles in the project in the sense that the treatment of these links form a base step of an inductive proof of the theorem for general 2-bridge links. We note that both a 2-bridge link of slope $n/(2n+1)$ with $n=2$ and a 2-bridge link of slope $(n+1)/(3n+2)$ with $n=1$ are the figure-eight knot. It is a bit surprising that the treatment of the figure-eight knot is the most complicated. This reminds us of the phenomenon in the theory of exceptional Dehn filling that the figure-eight knot attains the maximal number of exceptional Dehn fillings.

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